



## **ACORD Forms Notification Service November 2020 Bulletin**

### **ACORD P&C and Life/Annuity/Health Form Changes and Additions**

The following pages include both a list of any new and revised ACORD forms and an Explanation of the Changes made.

Forms can be found on the **Forms Portal** page at [www.acord.org](http://www.acord.org). The effective date included on the footer of all forms will match the date the form must be used in order to ensure compliance with our filings. To download forms, you will need to “sign-in” as an ACORD member with your user name and password.

If you need assistance, a sample of a form, or you would like to join ACORD, please call Member Services at (800) 444-3341, Option 2 or email us at [memberservices@acord.org](mailto:memberservices@acord.org).

If you do not wish to receive this notification, please email [memberservices@acord.org](mailto:memberservices@acord.org) requesting that we unsubscribe you to this Forms Notification Service.



**Forms Release 11-30-2020**

Form Number	Replaces	Title	For Use on or after:	Regulatory Change
<b>REVISIONS</b>				
<b>P&amp;C Countrywide</b>				
<b>NONE</b>				
<b>P&amp;C – State Specific</b>				
<b>ACORD 135 NC (2020/11)</b>	2020/05	North Carolina Workers Compensation Insurance Plan Application for Designation of an Insurance Company	2020/11	X
<b>NEW</b>				
<b>P&amp;C-Countrywide</b>				
<b>NONE</b>				
<b>P&amp;C - State Specific</b>				
<b>NONE</b>				
<b>Life &amp; Annuity</b>				
<b>ACORD 661 (2020/12)</b>		Consumer Refusal to Provide Information for Annuity Disclosures	12/31/2020	X (state specific)
<b>Enhancements Only:</b>				
<b>ACORD 226 (1993/03)</b>		Statement of Premium Adjustment <b>ELabels added, no changes to static text</b>		
<b>Withdrawn Forms</b>				
<b>NONE</b>				



**November 2020**  
**EXPLANATION OF CHANGES**

**P&C FORMS**

**State Specific**

**New forms**

None.

**Revised forms**

**1. ACORD 135 NC (2020/11)  
NORTH CAROLINA WORKERS COMPENSATION INSURANCE PLAN APPLICATION FOR DESIGNATION  
OF AN INSURANCE COMPANY**

The following changes were requested to be made by North Carolina Rate Bureau to ACORD 135 NC (2020/05):

1. To page 2, Section 7. General Information – Subsection Professional Employer Organizations, change question 3 to state:  
WITHIN THE PAST 5 YEARS, HAVE YOU HAD ANY OF THE FOLLOWING:
  - COMMON OWNERSHIP OF 50% OR MORE WITH ANOTHER ENTITY
  - NAME CHANGE
  - CONSOLIDATION OR MERGER
  - OWNERSHIP CHANGE OF ANY KIND
2. To page 2, Change question 5 to: Do you lease employees to or from any organization?
3. To page 2, delete question 6
4. To page 2, delete question 7
5. To page 2, change question 8 to question 6
6. To Page 2, change question 9 to question 7
7. To Page 2, change question 10 to question 8
8. To Page 4, Section 14. STATEMENT OF LICENSED AGENT:  
Add "AGENCY" TO "FEIN OR SOCIAL SECURITY NUMBER" to read AGENCY FEIN OR SOCIAL SECURITY NUMBER

Change the date of the form edition to (2020/11) to each page.

## LIFE AND ANNUITY

### State Specific

#### New forms

1. **ACORD 661 (2020/12)**  
**CONSUMER REFUSAL TO PROVIDE INFORMATION FOR ANNUITY DISCLOSURES**

This form is modeled after the NAIC's Model Law 275, Suitability in Annuity Transactions Model Regulation, Appendix B. ACORD 661 was created to be consistent with Appendix B. Consumer Refusal to Provide Information. Purchaser is to sign when the purchaser refuses to provide to the Producer financial information.

#### Revised forms

None.

#### Enhancements:

1. **ACORD 226 (1993/03)**  
**STATEMENT OF PREMIUM ADJUSTMENT**

Elabels were added to the form. No changes were made to the static text.